Stat 13, Intro. to Statistical Methods for the Life and Health Sciences.

- 1. Experiments and aspirin example.
- 2. Random sampling, random assignment, and blocking.
- 3. Blinding.
- 4. Portacaval shunt example.
- 5. Coverage, non-response bias, adherer bias, and clofibrate example.
- 6. More about confounding factors.

Finish reading chapter 4.

http://www.stat.ucla.edu/~frederic/13/F16.

HW2 is due Oct 18 and is problems 2.3.15, 3.3.18, and 4.1.23.

Free tutoring in the Stats Club, Mondays and Tuesdays from 5:00-6:00 pm in Franz 2258A

1. Experiments and aspirin example.

- In an **experiment**, the researchers set the level of the explanatory variable for each subject.
- These levels may correspond to a treatment and control.
- Well designed experiments can control for confounding variables by making the treatment and control groups very similar except for what the experimenter manipulates.

Aspirin example.

Physicians' Health Study I (study aspirin's affect on reducing heart attacks.

- Started in 1982 with 22,071 male physicians.
- The physicians were randomly assigned into one of two groups.
 - Half took a 325mg aspirin every other day and half took a placebo.

Results

- Intended to go until 1995, the aspirin study was stopped in 1988 after finding significant results.
- 189 (1.7%) heart attacks occurred in the placebo group and 104 (0.9%) in the aspirin group. (45% reduction in heart attacks for the aspirin group.)
- What about confounding variables? Could the aspirin group be different than the placebo group in some other ways?
 - Did they have a better diet?
 - Did they exercise more?
 - Were they genetically less likely to have heart attacks?
 - Were they younger?

The Big Idea

- Confounding variables are often circumvented in experiments due to the random assignment of subjects to treatment groups.
- Randomly assigning people to groups tends to balance out all other variables between the groups.
- So confounding variables, including ones the researchers didn't anticipate, should be roughly equalized between the two groups and therefore should not be confounding.
- Thus, cause and effect conclusions are sometimes possible in experiments through random assignment. (It must be a well run experiment.)

2. Random sampling and random assignment.

- With observational studies, random sampling is often done. This possibly allows us to make inferences from the sample to the population where the sample was drawn.
- With experiments, **random assignment** is done. This possible allows us to conclude causation.

- The Physician's Health Study used random assignment.
 Did it also use random sampling?
- No, hardly any experiments use random sampling, but get their subjects in other ways.
- The Physician's Health Study sent out invitation letters and questionnaires to all 261,248 male physicians between 40 and 84 years of age who lived in the United States.
- Of the 59,285 who were willing to participate in the trial, 26,062 were told they could not because of some medical condition or current medical treatment.

- So to what group can we generalize the results that taking aspirin can reduce heart attacks?
 - Just physicians in the study?
 - All male physicians between 40-84 years old?
 - All male physicians?
 - All males between 40-84 years olds?
 - All males?
 - Everyone between 40-84 years old?
 - Everyone?

Article Baseline Demographics After Random Assignment

Parameter	Placebo (n=129)	Uceris (n=128)
Mean age, years (range)	39.9 (12–68)	37.6 (13–66)
Men	77 (59.7)	70 (54.7)
Women	52 (40.3)	58 (45.3)
Mean disease duration (yrs)	6.3	5.5
Duration ≤1 year, n (%)	23 (17.8)	28 (21.9)
Duration >5 years, n (%)	51 (39.5)	44 (34.4)
Proctosigmoiditis	64 (49.6)	58 (45.3)
Left-sided colitis	44 (34.1)	37 (28.9)
Mean baseline UCDAI score	6.2	6.5
Mean baseline El score	6.6	6.5
Prior mesalazine use	75 (58.1)	66 (51.6)
Prior sulfasalazine use	28 (21.7)	33 (25.8)

Sandborn WJ, Travis S, Moro L, Jones R, Gautille T, Bagin R, Huang M, Yeung P, Ballard ED 2nd Once-daily budesonide MMX® extended-release tablets induce remission in patients with mild to moderate ulcerative colitis: results from the CORE I study. *Gastroenterology* 2012 Nov;143(5):1218-26

Blocking and Random Assignment

- The goal in random assignment is to make the two groups as similar as possible in all ways other than the treatment.
- Sometime there are known confounders and you can block on (control for) these variables.
- For example, if our subjects consist of 60% females and 40% males, we can force each group to be 60% female and 40% male, using a matched pair design.
- Blocking makes sense when there are known confounders you want to control for. But randomly assigning subjects to groups makes them as similar as possible even in terms of unknown confounders.

3. Blinding.

Even in experiments, the treatment and control groups can be different in ways other than the explanatory variable. This is especially true when the response variable is somewhat subjective.

Pain is an example. One study found that 1/4 of patients suffering from post-operative pain, when given a placebo (just a pill of sugar and water) claimed they experienced "significant prompt pain relief".

This shows that people cannot judge their own levels of pain very well, and may be influenced by the belief that they have taken an effective

3. Blinding.

People might not be able to judge their own levels of pain very well, and may be influenced by the belief that they have taken an effective treatment.

Thus in an experiment with such a response variable, researchers should ensure the subject does not know whether he or she received the treatment or the control. This is called blinding.

In a *double-blind* experiment, neither the subject nor the researcher recording the response variable knows the level of the explanatory variable for each subject (i.e. treatment or control).

4. Portacaval shunt example.

The following example shows the importance of doing a randomized controlled experiment.

The portacaval shunt is a medical procedure aimed at curbing bleeding to death in patients with cirrhosis of the liver.

The following table summarizes 51 studies on the portacaval shunt. The poorly designed studies were very enthusiastic about the surgery, while the carefully designed studies prove that the surgery is largely ineffective.

	Degree	of enthu	ısiasm
Design	High M	oderate	None
No controls	24	7	1
Controls, but not randomized	l 10	3	2
Randomized controlled	0	1	3

4. Portacaval shunt example.

Why did the poorly designed studies come to the wrong conclusion?

A likely explanation is that in the studies where patients were not randomly assigned to the treatment or control group, by and large the healthier patients were given the surgery.

This alone could explain why the treatment group outlived the control group in these studies.

	Degree	e of enthu	siasm
Design	High N	/loderate l	Vone
No controls	24	7	1
Controls, but not randomized 10 3 2		2	
Randomized controlled	0	1	3

5. More problems with studies, and Clofibrate example.

Surveys are observational.

- Coverage is a common issue. Coverage is the extent to which the people you sampled from represent the overall population.
 A survey at a fancy research hospital in a wealthy neighborhood may yield patients with higher incomes, higher education, etc.
- Non-response bias is another common problem. Poor coverage means the people getting the survey do not represent the general population. Non-response bias means that out of the people you gave the survey to, the people actually filling it out and submitting it are different from the people who did not.
- Same exact issues in web surveys.

5. More problems with studies, and Clofibrate example.

Non-response bias is similar to adherer bias, in experiments. A drug called clofibrate was tested on 3,892 middle-aged men with heart trouble. It was supposed to prevent heart attacks.

1,103 assigned at random to take clofibrate,

2,789 to placebo (lactose) group.

Subjects were followed for 5 years.

Is this an experiment or an observational study?

Clofibrate	patients who died during followup
adherers	15%
non-adherers	25%
total	20%

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Is this an experiment or an observational study?

It is an experiment. Does Clofibrate work?

Clofibrate patients who died during followup

adherers 15%

non-adherers **25**%

total 20%

adherers 15%

non-adherers **25**%

total 20%

Placebo

adherers 15%

nonadherers 28%

total 21%

Those who took clofibrate did much better than those who didn't keep taking clofibrate. Does this mean clofibrate works?

adherers 15%

non-adherers 25%

total 20%

Placebo

adherers 15%

nonadherers 28%

total 21%

Those who adhered to placebo also did much better than those who stopped adhering.

adherers 15%

non-adherers 25%

total **20**%

Placebo

adherers 15%

nonadherers 28%

total **21**%

All in all there was little difference between the two groups.

adherers 15%

non-adherers 25%

total **20**%

Placebo

adherers 15%

nonadherers 28%

total **21**%

Adherers did better than non-adherers, not because of clofibrate, but because they were healthier in general. Why?

adherers 15%

non-adherers 25%

total **20**%

Placebo

adherers 15%

nonadherers 28%

total **21**%

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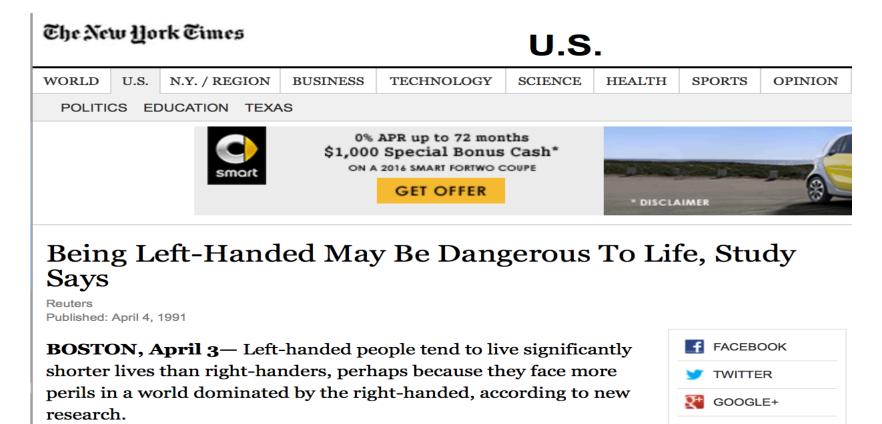
- adherers are the type to engage in healthier behavior.
- sick patients are less likely to adhere.

- By a confounding factor, we mean an alternative explanation that could explain the apparent relationship between the two variables, even if they are not causally related. Typically this is done by finding another difference between the treatment and control group. For instance, different studies have examined smokers and non-smokers and have found that smokers have higher rates of liver cancer. One explanation would be that smoking causes liver cancer. But is there any other, alternative explanation?
- One alternative would be that the smokers tend to drink more alcohol, and it is the alcohol, not the smoking, that causes liver cancer.

- Another plausible explanation is that the smokers are probably older on average than the non-smokers, and older people are more at risk for all sorts of cancer than younger people.
- Another might be that smokers engage in other unhealthy activities more than non-smokers.
- Note that if one said that "smoking makes you want to drink alcohol which causes liver cancer," that would not be a valid confounding factor, since in that explanation, smoking effective is causally related to liver cancer risk.

- A confounding factor must be plausibly linked to both the explanatory and response variables. So for instance saying "perhaps a higher proportion of the smokers are men" would not be a very convincing confounding factor, unless you have some reason to think gender is strongly linked to liver cancer.
- Another example: left-handedness and age at death.
 Psychologists Diane Halpern and Stanley Coren looked at 1,000 death records of those who died in Southern California in the late 1980s and early 1990s and contacted relatives to see if the deceased were righthanded or lefthanded. They found that the average ages at death of the lefthanded was 66, and for the righthanded it was 75. Their results were published in prestigious scientific journals, Nature and the New England Journal of Medicine.

All sorts of causal conclusions were made about how this shows that the stress of being lefthanded in our righthanded world leads to premature death.

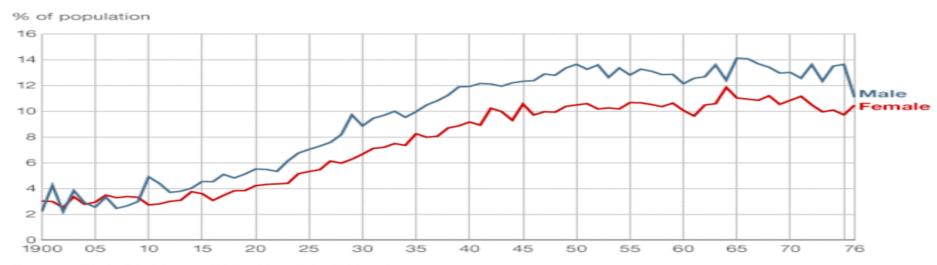


Is this an observational study or an experiment?

- Is this an observational study or an experiment?
 It is an observational study.
- Are there plausible confounding factors you can think of?

• A confounding factor is the age of the two populations in general. Lefties in the 1980s were on average younger than righties. Many old lefties were converted to righties at infancy, in the early 20th century, but this practice has subsided. Thus in the 1980s and 1990s, there were relatively few old lefties but many young lefties in the overall population. This alone explains the discrepancy.

Left handedness 1900-1976



Source: Chris McManus Right Hand, Left Hand